



24TH ANNUAL CONFERENCE & ONE DAY SEMINAR

JUNE 17 - 20, 2014

BALTIMORE, MARYLAND



HYATT REGENCY
INNER HARBOR

FEATURED KEYNOTE SPEAKERS



ANTHONY DEMANGONE
VP & COO, NAFCU



RUDY MAXA
TRAVEL WRITER, COLUMNIST



CATHERINE BRUDER
DIR. AUDIT, DOEREN MAYHEW



LARRY FAZIO
DIR. OFFICE E&I, NCUA



JOHN BRATSAKIS
CEO, MD / DC LEAGUE

CPE CREDITS
AVAILABLE FOR
ALL SESSIONS

CHESAPEAKE

ACUIA is heading to the Charm City for our 24th Annual Conference. Join us for four days filled with educational sessions and entertainment in Baltimore, a city rich in history, tradition and world famous for crab cakes and seafood.

ACUIA'S ONE-DAY SEMINAR JUNE 17

Acclaimed as one of the best teaching tools in the credit union system, the ACUIA One-Day Seminar is an outstanding way for both new and seasoned auditors to learn about the latest auditing issues. As a conference attendee you can choose to attend the One-Day Seminar, the Annual Conference, or both. There is a separate fee for each.

Three Sessions Available, Select Based on Your Interest

HANDS ON IT SECURITY AUDIT - An in depth look at IT security audits

Join information security specialists to explore ways to help your organization avoid being victimized by cyber criminals. During this "hands on" session each participant will be provided with a Microsoft Windows laptop computer to use during training that is loaded with a variety of common, easy-to-use auditing and security assessment tools. You'll walk through how to identify weak or default configuration settings, and provide recommendations for strengthening security, audit, and monitoring settings. You'll also learn how to use automated tools to make IT audits more efficient. **(note: enrollment limited to first 50 registrants).**

AUDITING 101 - A one day course on IA fundamentals for those new to IA.

The presenters, John Gallagher, Barry Lucas and Sam Capuano together have over 50 years experience in credit union internal auditing. The 3 auditors will present information geared towards those new to internal auditing or new to credit unions and will provide best practices for seasoned auditors as well. The session will include:

- * Roles, Responsibilities and Relationships
- * Internal Auditor Tools
- * Planning and Performance of Audit Work
- * Communications and Follow-up

Ample time is built into the seminar for questions and audience participation.

REGULATORY COMPLIANCE UPDATE - Keeping current with compliance

There are an unprecedented number of regulatory compliance changes impacting credit unions. The changes are broad in scope and increasingly complex. Examiners will be spending more time reviewing credit unions for compliance as the Consumer Financial Protection Bureau continues its compliance initiatives.

This session will begin by explaining the elements critical to every successful regulatory compliance program. Not every credit union has the same resources to devote to compliance, but we will discuss several ways you can significantly improve your existing compliance program and in turn your credit union's overall compliance performance.

ACUIA'S ANNUAL CONFERENCE JUNE 18-20

The Annual Conference is the most comprehensive and beneficial professional development opportunity offered by the ACUIA. Featuring speakers presenting timely and in-depth topics pertinent to the profession, the conference is the ideal opportunity to network with your peers.

Designed for professionals who want to keep pace with the diverse and dynamic field of internal auditing, the annual conference agenda is created specifically for you; whether you are a member of your credit union's internal audit department or the Supervisory/Audit Committee.

Gain timely, insightful and indispensable information from the roster of nationally recognized, keynote, general and breakout session speakers who are experts in their field.

With an average attendee rating of 4.22 out of 5 from this past year's sessions, the ACUIA Annual Conference has cutting edge topics that will put any audit professional and Supervisory Committee member at the top of their game.

Come join us in Baltimore for four very valuable days of education, exploration, and interaction with your peers.

TIMELY, RELEVANT BREAKOUT SESSIONS

There will be a wealth of learning in our breakout sessions for auditors, risk managers and CFOs. In addition, **this year we are continuing our focus with sessions for Supervisory Committee members.**

Audit / Accounting

- ◆ Auditing ERM
- ◆ Social Engineering Audit
- ◆ Branch Audit Best Practices
- ◆ Fraud Detection and Prevention
- ◆ Third Party Vendor Management
- ◆ Best Practices for a Highly Effective IA Function
- ◆ Incident Response and Forensic Preparedness
- ◆ ALLL - Current Expected Credit Loss Model
- ◆ Auditing the NCUA 5300 Call Report
- ◆ Performing Effective MBL Reviews

Compliance/Risk

- ◆ What Happens when the Devil Knocks on your Door? - Current Technology Risks
- ◆ Risk Governance
- ◆ Cyber Security Framework

IT Audit

- ◆ Risk Assessment for GLBA, DDoS, Internet Banking, Encryption of Member Data, Social Media and FFIEC Information Security
- ◆ Top 10 IT Security Hot Spots

Supervisory / Audit Committee Focus

- ◆ Roles and Responsibilities of the SC
- ◆ SC Expectations of IA

Charm City Food Tour



FOOD TOURS

This tour takes you on a gastronomic exploration of the Fells Point neighborhood, one of Baltimore's best preserved historic districts, founded nearly 280 years ago. You'll walk through a town of narrow row-houses, cobbled streets, and dine on fantastic local cuisine, encapsulating three centuries worth of charm. On this guided, narrated walking tour, you'll experience and learn about the significance of the small town stopping to sample specially made dishes at three unique family owned restaurants.

Behind The Scenes Tour of Camden Yards

Take a stroll through the ballpark that forever changed Baseball! Enjoy the charm of the ballpark from club level suites, the press level, the scoreboard/Jumbo-Tron control room, and even the Orioles dugout. Here's your chance to go behind the scenes of his historic ballpark and learn more about how it has continued to change the baseball fan's experience ever since. Included with the tour is a casual dining experience to round out the evening.



Baltimore Harbor Dinner Cruise

On this narrated Baltimore private charter cruise, you'll learn about the renaissance of Baltimore's waterfront and this interesting city's history. Sightseeing along the waterways includes the

attractions of the Inner Harbor, the iconic Domino Sugar sign, Fort McHenry, the Key Bridge and more; all while relaxing and dining aboard the Annapolitan II yacht charter.

Note: Optional events require an additional fee; not included in conference registration.

TRANSPORTATION

Please note, the hotel does not provide airport shuttle service. Super-Shuttle service is available for \$30 round-trip or \$15 one-way. A cab ride from BWI Airport takes about 15 minutes and costs approximately \$27 each way.

HOTEL & RESERVATIONS

This year's conference is at the beautiful Hyatt Regency Hotel on the inner harbor. The special ACUIA Conference rate of \$228 per night is available until May 26th, but is valid for rooms from June 12th thru the 21st for those interested in extending their trip. To make your reservations [CLICK HERE](#) or go to:

<https://aws.passkey.com/event/10831426/owner/298/home>



WIN AN IPAD MINI!

Register online or send in your paid registration postmarked by April 30, 2014 to be entered to win a new iPad Mini®.

The latest cool technology from Apple can be yours by registering now!



OPTIONAL EVENTS

(Click icons for more info)

KEYNOTE SPEAKERS

This year's esteemed, keynote speakers are from a cross-section of the credit union industry. They will offer insight and understanding to help you create greater value, clarity, and focus in your audit efforts for the coming year.

ANTHONY DEMANGONE, esq – Executive Vice President & COO, NAFCU

Mr. Demangone oversees day-to-day operations and manages the association's education, marketing, membership, human resources, building facilities, finance and information technology functions. He also authors NAFCU's executive blog, Musings from the CU Suite.

Before assuming the COO position, Demangone served as NAFCU's Vice President of Regulatory Compliance, where he led a staff of compliance attorneys and managed the day-to-day affairs of NAFCU's compliance assistance program.

Prior to NAFCU, Demangone's practice focused on helping clients comply with federal and state regulations in the areas of occupational safety and health, employment law, environmental law and alcoholic beverage law. He is a member of the Virginia Bar Association.

RUDY MAXA – Award Winning Travel Writer, TV Personality & Columnist

Mr. Maxa is the host and executive producer of 85 half-hour travel shows on the world's great destinations that are broadcast on public television in the U.S. with the titles Smart Travels: Europe with Rudy Maxa, and Rudy Maxa's World.

Maxa began his career in journalism as an investigative reporter, magazine writer, and personalities columnist at The Washington Post. His reporting on a Capitol Hill sex scandal and the resulting changes in congressional rules was nominated for the Pulitzer Prize, and he received the John Hancock Award for Excellence in Business and Financial Journalism for a series of stories on an international Ponzi scheme.

Maxa's career as a travel journalist started in 1990 when he began contributing bi-weekly travel commentary to the public radio business show Marketplace. He attributes his desire to travel partly to the fact he grew up as a military brat.

LARRY FAZIO – Director Office of Examination and Insurance, NCUA

Mr. Fazio joined NCUA in 1991 as an Examiner in Chicago. During his career with NCUA, Larry has served in multiple leadership positions at the NCUA including, the Director of Risk Management in the Office of Examination and Insurance (E and I), the Deputy Director of the Office of E and I, and NCUA's Deputy Executive Director. In June 2011, Larry was selected as the Director of the Office of E and I. He is responsible for providing leadership over the agency's examination and supervision program.

JOHN BRATSAKIS – CEO MD / DC Credit Union League

John Bratsakis is the President and CEO of The MD and DC Credit Union Association (MDDCCUA). Prior to joining MDDCCUA, Bratsakis was the Senior VP of Baxter Credit Union in Vernon Hills, IL. He has also served on the Illinois Credit Union League and Service Corporation Board of Directors for over 10 years, serving as Chair from 2008 to 2010. John is currently a representative of the CUNA Governmental Affairs Committee.

CATHERINE BRUDER – Director of Audit and IT Assurance, Doeren Mayhew

Ms. Bruder has 23 years of experience working with credit unions, Catherine brings both experience and innovation to your team. An active member of the IT Executive Board of the AICPA, she is at the forefront of industry changes. Catherine's specialty is in integrating information technology into the audit and bringing experienced IT specialists to provide ideas and recommendations for better internal controls and operational effectiveness.



24TH ANNUAL CONFERENCE REGISTRATION

Name _____

Name for Badge _____

Credit Union / Organization _____

Asset Size _____

Mailing Address _____

City _____ State _____ Zip _____

Email Address _____

(_____) _____
Phone

Please select the One-Day Seminar session you will be attending:

One Day Seminar - June 17th: (choose one)

- Hands On IT Security Audit** - An in depth look at IT security audits
- Auditing 101** - A one day course on IA fundamentals for those new to IA
- Regulatory Compliance Update** - Keeping current with the latest compliance

Annual Conference - June 18th - 20th:

Note: The conference will conclude by Noon Friday.

- 24th Annual Conference

Optional Events: (choose one)

These events are optional with a separate fee noted at right.

- Charm City Food Tour** - Historic Fells Point neighbored Dining at 3 restaurants
- Tour of Camden Yards** - A behind the scenes look at the historic ballpark
- Baltimore Harbor Dinner Cruise** - A relaxing evening cruise of historic sights.

Notes: Included in the One-Day registration fee are breakfast, lunch and breaks. Included in the Annual Conference fee are breakfast and breaks each day and lunch on Wednesday and Thursday and the Charm City welcome reception Tuesday evening. For more information regarding admin. policies such as refunds, cancellations and complaints please contact the ACUIA at 703.688.2284

REGISTRATION SUMMARY

Registration Fees

**ADVANCE
(BY APRIL 30)**

**REGULAR
(MAY 1-JUN 10)**

One-Day Seminar Registration Only

_____ Member @	\$249	\$299
_____ Non-Member @	\$449	\$499

Annual Conference Registration Only

_____ Member @	\$899	\$999
_____ Non-Member @	\$1,099	\$1,199

- OR -

BOTH One Day Seminar / Annual Conference Registrations

_____ Member @	\$1,098	\$1,198
_____ Non-Member @	\$1,448	\$1,598

TOTAL \$ _____ \$ _____

All Registrations Include Charm City Welcome Reception



Optional Events

- Charm City Food Tour** @ \$75.00/each \$ _____
- Tour of Camden Yards** @ \$70.00/each \$ _____
- Baltimore Harbor Cruise** @ \$75.00/each \$ _____
- Visa Master Card **TOTAL** \$ _____

Credit Card Number: _____ Exp. Date _____ CSV Code _____

Name as it appears on card _____

Signature _____ Billing Zip-Code _____

Three Ways to Register For the Conference:

- Fax: (703) 348-7602
- Mail: **ACUIA Conference Dept.
P.O. Box 150908
Alexandria, VA 22315**

Online: www.ACUIA.org - or - [Click Here to Register](#)