

CCUIA
Re-certification
Class
June 22, 2021

Virtual Annual Conference
June 23 - 25, 2021
FEATURING:



World Renowned Fraud Expert



President St. Louis Community Credit Union

Patrick Adams

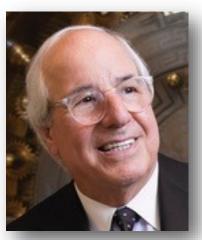


13 CPE Credit Hours
Available

PATRICK ADAMS

FRANK ABAGNALE

One of the world's most respected authorities on forgery, embezzlement and secure documents



Frank Abagnale is one of the world's most respected authorities on forgery, embezzlement, and secure documents. For over 40 years he has advised, and consulted with hundreds of financial institutions, corporations and government agencies around the world. Mr. Abagnale's rare blend of knowledge and expertise began more than 50 years ago when he was known as one of the world's most famous con men. His riveting story provided the inspiration for Steven Spielberg's 2002 film, "Catch Me If You

Can", starring Leonardo DiCaprio as Abagnale and Tom Hanks as the FBI agent fast on his heels.

Mr. Abagnale lectures extensively at the FBI Academy. He is a faculty member at the National Advocacy Center (NAC) which is operated by the Department of Justice, Executive Office for United States Attorneys. More than 14,000 financial institutions, corporations and law enforcement agencies use his fraud prevention programs. Mr. Abagnale refuses to accept payment for any of his government work.

"I chose to immortalize him on film because of what he's done for the country for more than 30 years."

Steven Spielberg

President St. Louis Community Credit Union

Patrick Adams is a well-known speaker at credit union events and has spoken worldwide to companies for over 25 years. He is beginning his 45th year in the credit union movement and has worked for St. Louis Community Credit Union for the past 34 years. In 2018, he stepped aside from his role as CEO to focus exclusively on the strategic direction of the credit union. St. Louis Community has witnessed substantial growth while maintaining high levels of financial strength. His presentations are informative and urge listen-



ers to have an "ah-ha" moment that leads to success.

TODD NEWTON

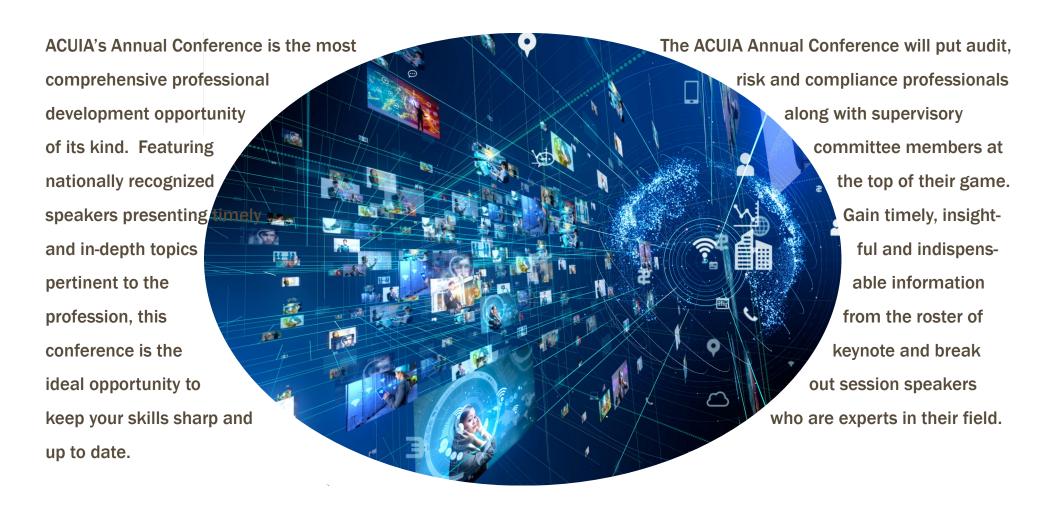
Emmy® Award Winning Entertainer and Podcaster

Daytime Emmy-Award winning TV personality Todd Newton is often referred to by industry insiders as "The Host with the Most". Newton's amiable persona on E! Entertainment Television brought viewers in more than thirty countries face to face with Hollywood's elite for over a decade. Todd entered the world of game shows in 1999 on GSN's Hollywood Showdown. Since that time, he has awarded contestants over \$30 million dollars in cash



and prizes on Whammy, Instant Millionaire, Made in the USA, Monopoly Millionaire's Club and Family Game Night. Todd also serves as host of *The Price Is Right Live!* - a live stage version of the iconic game show classic currently touring the US. When not working in front of the camera, Todd speaks to corporations, universities, and organizations on personal achievement and success with his entertaining and inspiring presentations.

ACUIA ANNUAL CONFERENCE



Join ACUIA on our virtual conference platform for three very valuable days of education and training.



SESSION TOPICS

Designed for professionals who want to keep pace with the diverse and dynamic field of internal auditing, risk management and compliance, the annual conference agenda is created specifically with these disciplines in mind. There will be a wealth of learning in every session, designed for auditors, risk managers, ERM professionals, compliance officers, supervisory committee members and CFOs.

Integrated Assurance: The need for assurance is even more important in times of turbulence and uncertainty. How does it work and benefit credit unions?

Communication and Collaboration Between IA and Risk – The relationship between the second and third lines of defense, although different, overlap in purpose. This session will help improve those lines of communication and cooperation.

The Risk Landscape: Hot Topics – There are so many rules and laws to keep up with combined with pandemic issues. Come and hear the top issues in regulatory and compliance trends.

An Overview of NCUA's Information Systems and Assurance Examination Program – An update on the NCUA Information Systems with a focus on ACET and InTRex-CU solutions.

Women In Leadership (Panel) – The keys to successful leadership from some of the top women in audit and risk.

Elder Financial Abuse – Even more prevalent as more Americans are homebound. How can one identify, manage and report on elder abuse?

Risk Data Aggregation Guidelines – Transparency, controls and governance are needed for data quality and reporting. The importance of data accuracy for risk is more relevant today with regulators increasing requirements for stress testing and capital adequacy.

Peer to Peer Payment Systems Fraud – Everyone is sending money without cash trading hands. What are the various types of fraud schemes and what steps can the credit union take to mitigate the risk?

Third-Party Risk – Does your credit union know the details of those with which it does business? Although NCUA does not have third-party oversight, the credit union must do its due diligence to remain compliant.

Strategic Risk Management – This session will define the guiding principles for strategic risk management that senior management teams can use to link ERM with strategy formulation and execution.

Fair Lending From A Risk Assessment – With a new administration, what path will regulators take in looking at a credit union's fair lending program? Is your credit union prepared for tougher scrutiny in a post-pandemic environment?

Money Laundering Red Flags – Crime is increasing at a rapid pace and advanced technology gives fraudsters better tools to commit their crimes. The conventional methods of money laundering are old school while virtual methods rule the day. Credit unions, crypto exchanges and Fintech companies are at the highest risk of money laundering.

Business Continuity and Disaster Recovery – More and more natural disasters are displacing credit union members and affecting operations. What should your credit union do in the case of an emergency?

Risk Assessment Workshop – Topics in risk assessment handled in a round table format.

Auditing Ethics and Culture – While there is no set ethics audit definition, an effective audit will include a review of the code of ethics, a review of past incidents and an understanding of the organization's ethics.

Data Analytics: Detecting Fraud in HR Payroll and Expenses – Sometimes the small losses can add up to a large one. Learn how to use data analytics to detect fraud in HR and expenses.

Digital Skills Assessments – How to assess your team's digital skills and how to improve them.

Auditing That Matters – How does an internal audit team provide the greatest level to the stakeholders and the leadership of the credit union? How can IA be recognized as a the value that it serves to the credit union?

CONFERENCE AGENDA

TUESDAY, JUNE 22

9A - 4P CCUIA Re-certification Class

(Qualifies for one re-cert credit)

WEDNESDAY, JUNE 23

11:00 - 11:15A Welcome & Introductions

11:15A - **12:15**P Keynote Session

12:15 - 1:15P Lunch Break

1:30 - 2:30P Break-Out Sessions - One

2:30 - 2:45P EARLY AFTERNOON BREAK

2:45 - 3:45P BREAK-OUT SESSIONS - TWO

3:45 - 4:00P LATE AFTERNOON BREAK

4:00 - 5:00P Break-Out Sessions - Three



THURSDAY, JUNE 24

1:00 - 2:00P BREAK-OUT SESSIONS - FOUR
2:00 - 2:15P EARLY AFTERNOON BREAK
2:15 - 3:15P BREAK-OUT SESSIONS - FIVE
3:15 - 3:30P LATE AFTERNOON BREAK

FRIDAY, JUNE 25

3:30 - 4:30P

4:00 - 5:00P

11:00 - 11:15A Welcome & Introductions

11:15A - 12:15P Keynote Session

12:15 - 1:15P Lunch - ACUIA Annual Meeting | Awards | Election Results

1:30 - 2:30P BREAK-OUT SESSIONS - SEVEN

2:30 - 2:45P EARLY AFTERNOON BREAK

2:45 - 3:45P BREAK-OUT SESSIONS - EIGHT

3:45 - 4:00P LATE AFTERNOON BREAK

BREAK-OUT SESSIONS - NINE

BREAK-OUT SESSIONS - SIX

WIN A BENJAMIN DURING THE CONFERENCE

We're giving away a total of TEN \$100 dollar gift cards during the conference The random drawing from all registered attendee names means you could be a winner!*

\$1,000 in CASH

* MUST BE ONLINE TO WIN.



OPTIONAL EVENTS

After a day of virtual conference sessions, sit back and relax with one of the optional events Wednesday evening. This year we're taking the long running ACUIA Game Night online with ACUIA Trivia Night. For those who want to put your palate to the test, join us for a virtual wine tasting.

ACUA Trivia Night TRIVIA INGENTIA INGENTIA

ACUIA TRIVIA NIGHT - Do you know the major league baseball player who has more career grand slams than any other player? Do you know the biggest island in the world? How about the rarest M&M color? What is the Twitter bird's name? And which animal has no vocal chords? If you like to shout answers at the television screen during "Jeopardy" broadcasts, then ACUIA has an event for you... INTERACTIVE TRIVIA! Just log on and match wits and knowledge against other conference attendees.

It's just \$45 for a chance to win a \$100 gift card.

Answers: Alex Rodriguez, Greenland Brown, Larry and Giraffe

VIRTUAL WINE TASTING NIGHT



VIRTUAL WINE TASTING – Drop by and see some old friends and learn about wine from the folks at *InGoodTaste* when ACUIA holds its first-ever wine tasting event. Turn

your living room into a tasting room as you sit back and relax in the comfort of your own home. The wine experts will guide you through a fun and interactive wine tasting. The wine will be delivered to the address of your choice and you can join your

\$99 covers your wine and the expert tutelage.

colleagues for a fun night,

(State law prevents shipments to:
Alabama, Alaska,
Arkansas, Delaware, Hawaii,
Kentucky, Mississippi,
Rhode Island and Utah.)

WEDNESDAY EVENING

Optional events

are extra and not included in conference registration fee.



VIRTUAL CONFERENCE REGISTRATION

REGISTRATION SUMMARY

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AU		IIA
Association of C	redit Union Int	emal Auditors

	Primary Job Function	Registration Fees	Advance (By May 15)	Regular (May 16-Jun 20)	
Name	☐ Audit	☐ One-Day CCUIA Recertification Cla	ISS		
Credit Union / Organization	☐ Risk Mgt	Member @	\$ 299	\$ 349	
Greate Griffing Great Factoria	☐ Supervisory	Non-Member @	\$ 399	\$ 449	
Asset Size	Committee	☐ Annual Conference Registration Or	nly		
	☐ Other	Member @	\$ 499	\$ 549	
Mailing Address		Non-Member @	\$ 599	\$ 649	
		- OR -	5	SAVE \$99 ON BOTH	
City State	Zip	☐ BOTH CCUIA Re-Cert Annual Conf	erence Registrat	ion ON BOTH	
		Member @	\$ 699	\$ 749	
Email Address		Non-Member @	\$ 799	\$ 849	
() # Y	rs ACUIA Member	Optional Events	\$	\$	
	1st Time Attendee	☐ Virtual Trivia Game Night @	9 \$45 / each	\$	
Please select the events you will be attending:		☐ Virtual Wine Tasting @	9 \$99 / each	\$	
 One-Day CCUIA Recertification Class 					
> > Offers One CCUIA Recertification Credit	< <	Mailing Address (For wine sampler shipping)			
 ACUIA Virtual Annual Conference Only 		City	ST	Zip	
Wed June 23rd - Fri June 25th > > Offers One CCUIA Recertification Credit	< <	DISCOVER C	check TOTAL	\$	
☐ Both CCUIA Recertification Class & Annual Conference Tue June 22 nd CCUIA Class Jun 23 rd - 25 th Annual Conference		Credit Card Number:	Exp. Date	CVV Code	
·		Name as it appears on card			
Optional Events Wednesday June 23rd: These events are optional with		Signature	Billing Zip-Coo	de	
Select from either:	te fee as noted	Three Ways to Register For the Conferen	ce:		
☐ Virtual Trivia Game Night -or- ☐ Virtual Wine Tasting Night		Fax: (703) 348-7602 Mail: ACUIA Conference Dept. 1434 Duke St Suite 200			
Notes: For information regarding administration policies on refunds or cancellations pl Executive Office at: (703) 688.2284	ease contact ACUIA	Online: www.ACUIA.org	Alexandria,		