

**CCUIA  
Re-certification  
Class  
June 22, 2021**

**Virtual Annual Conference  
June 23 - 25, 2021  
FEATURING:**



**Frank Abagnale**

**World Renowned Fraud Expert**



**President St. Louis Community Credit Union**

**Patrick Adams**



**13 CPE Credit Hours  
Available**

## FRANK ABAGNALE

*One of the world's most respected authorities on forgery, embezzlement and secure documents*



Frank Abagnale is one of the world's most respected authorities on forgery, embezzlement, and secure documents. For over 40 years he has advised, and consulted with hundreds of financial institutions, corporations and government agencies around the world. Mr. Abagnale's rare blend of knowledge and expertise began more than 50 years ago when he was known as one of the world's most famous con men. His riveting story provided the inspiration for Steven Spielberg's 2002 film, "Catch Me If You

Can", starring Leonardo DiCaprio as Abagnale and Tom Hanks as the FBI agent fast on his heels.

Mr. Abagnale lectures extensively at the FBI Academy. He is a faculty member at the National Advocacy Center (NAC) which is operated by the Department of Justice, Executive Office for United States Attorneys. More than 14,000 financial institutions, corporations and law enforcement agencies use his fraud prevention programs. Mr. Abagnale refuses to accept payment for any of his government work.

*"I chose to immortalize him on film because of what he's done for the country for more than 30 years."*

**Steven Spielberg**

## PATRICK ADAMS

*President St. Louis Community Credit Union*

Patrick Adams is a well-known speaker at credit union events and has spoken worldwide to companies for over 25 years. He is beginning his 45th year in the credit union movement and has worked for St. Louis Community Credit Union for the past 34 years. In 2018, he stepped aside from his role as CEO to focus exclusively on the strategic direction of the credit union. St. Louis Community has witnessed substantial growth while maintaining high levels of financial strength. His presentations are informative and urge listeners to have an "ah-ha" moment that leads to success.



## TODD NEWTON

*Emmy® Award Winning Entertainer and Podcaster*

Daytime Emmy-Award winning TV personality Todd Newton is often referred to by industry insiders as "The Host with the Most". Newton's amiable persona on E! Entertainment Television brought viewers in more than thirty countries face to face with Hollywood's elite for over a decade. Todd entered the world of game shows in 1999 on GSN's Hollywood Showdown. Since that time, he has awarded contestants over \$30 million dollars in cash and prizes on Whammy, Instant Millionaire, Made in the USA, Monopoly Millionaire's Club and Family Game Night. Todd also serves as host of *The Price Is Right Live!* - a live stage version of the iconic game show classic currently touring the US. When not working in front of the camera, Todd speaks to corporations, universities, and organizations on personal achievement and success with his entertaining and inspiring presentations.



# ACUIA ANNUAL CONFERENCE

ACUIA's Annual Conference is the most comprehensive professional development opportunity of its kind. Featuring nationally recognized speakers presenting timely and in-depth topics pertinent to the profession, this conference is the ideal opportunity to keep your skills sharp and up to date.



The ACUIA Annual Conference will put audit, risk and compliance professionals along with supervisory committee members at the top of their game. Gain timely, insightful and indispensable information from the roster of keynote and breakout session speakers who are experts in their field.

**Join ACUIA on our virtual conference platform for three very valuable days of education and training.**



# SESSION TOPICS

Designed for professionals who want to keep pace with the diverse and dynamic field of internal auditing, risk management and compliance, the annual conference agenda is created specifically with these disciplines in mind. There will be a wealth of learning in every session, designed for auditors, risk managers, ERM professionals, compliance officers, supervisory committee members and CFOs.

**Integrated Assurance:** The need for assurance is even more important in times of turbulence and uncertainty. How does it work and benefit credit unions?

**Communication and Collaboration Between IA and Risk** – The relationship between the second and third lines of defense, although different, overlap in purpose. This session will help improve those lines of communication and cooperation.

**The Risk Landscape: Hot Topics** – There are so many rules and laws to keep up with combined with pandemic issues. Come and hear the top issues in regulatory and compliance trends.

**An Overview of NCUA's Information Systems and Assurance Examination Program** – An update on the NCUA Information Systems with a focus on ACET and InTRex-CU solutions.

**Women In Leadership** (Panel) – The keys to successful leadership from some of the top women in audit and risk.

**Elder Financial Abuse** – Even more prevalent as more Americans are homebound. How can one identify, manage and report on elder abuse?

**Risk Data Aggregation Guidelines** – Transparency, controls and governance are needed for data quality and reporting. The importance of data accuracy for risk is more relevant today with regulators increasing requirements for stress testing and capital adequacy.

**Peer to Peer Payment Systems Fraud** – Everyone is sending money without cash trading hands. What are the various types of fraud schemes and what steps can the credit union take to mitigate the risk?

**Third-Party Risk** – Does your credit union know the details of those with which it does business? Although NCUA does not have third-party oversight, the credit union must do its due diligence to remain compliant.

**Strategic Risk Management** – This session will define the guiding principles for strategic risk management that senior management teams can use to link ERM with strategy formulation and execution.

**Fair Lending From A Risk Assessment** – With a new administration, what path will regulators take in looking at a credit union's fair lending program? Is your credit union prepared for tougher scrutiny in a post-pandemic environment?

**Money Laundering Red Flags** – Crime is increasing at a rapid pace and advanced technology gives fraudsters better tools to commit their crimes. The conventional methods of money laundering are old school while virtual methods rule the day. Credit unions, crypto exchanges and Fintech companies are at the highest risk of money laundering.

**Business Continuity and Disaster Recovery** – More and more natural disasters are displacing credit union members and affecting operations. What should your credit union do in the case of an emergency?

**Risk Assessment Workshop** – Topics in risk assessment handled in a round table format.

**Auditing Ethics and Culture** – While there is no set ethics audit definition, an effective audit will include a review of the code of ethics, a review of past incidents and an understanding of the organization's ethics.

**Data Analytics: Detecting Fraud in HR Payroll and Expenses** – Sometimes the small losses can add up to a large one. Learn how to use data analytics to detect fraud in HR and expenses.

**Digital Skills Assessments** – How to assess your team's digital skills and how to improve them.

**Auditing That Matters** – How does an internal audit team provide the greatest level to the stakeholders and the leadership of the credit union? How can IA be recognized as a the value that it serves to the credit union?

# CONFERENCE AGENDA

## TUESDAY, JUNE 22

9A - 4P CCUIA Re-certification Class  
(Qualifies for one re-cert credit)

## WEDNESDAY, JUNE 23

11:00 - 11:15A Welcome & Introductions

11:15A - 12:15P Keynote Session

12:15 - 1:15P Lunch Break

1:30 - 2:30P BREAK-OUT SESSIONS - ONE

2:30 - 2:45P EARLY AFTERNOON BREAK

2:45 - 3:45P BREAK-OUT SESSIONS - TWO

3:45 - 4:00P LATE AFTERNOON BREAK

4:00 - 5:00P BREAK-OUT SESSIONS - THREE

NOTE: All times are EST.

## THURSDAY, JUNE 24

1:00 - 2:00P BREAK-OUT SESSIONS - FOUR

2:00 - 2:15P EARLY AFTERNOON BREAK

2:15 - 3:15P BREAK-OUT SESSIONS - FIVE

3:15 - 3:30P LATE AFTERNOON BREAK

3:30 - 4:30P BREAK-OUT SESSIONS - SIX

## FRIDAY, JUNE 25

11:00 - 11:15A Welcome & Introductions

11:15A - 12:15P Keynote Session

12:15 - 1:15P Lunch - ACUIA Annual Meeting | Awards | Election Results

1:30 - 2:30P BREAK-OUT SESSIONS - SEVEN

2:30 - 2:45P EARLY AFTERNOON BREAK

2:45 - 3:45P BREAK-OUT SESSIONS - EIGHT

3:45 - 4:00P LATE AFTERNOON BREAK

4:00 - 5:00P BREAK-OUT SESSIONS - NINE

## WIN A BENJAMIN DURING THE CONFERENCE

We're giving away a total of TEN \$100 dollar gift cards during the conference. The random drawing from all registered attendee names means you could be a winner!\*

# \$1,000 in CASH

\* MUST BE ONLINE TO WIN.



# OPTIONAL EVENTS

*After a day of virtual conference sessions, sit back and relax with one of the optional events Wednesday evening. This year we're taking the long running ACUIA Game Night online with ACUIA Trivia Night. For those who want to put your palate to the test, join us for a virtual wine tasting.*

ACUIA

## TRIVIA NIGHT

**ACUIA TRIVIA NIGHT** - Do you know the major league baseball player who has more career grand slams than any other player? Do you know the biggest island in the world? How about the rarest M&M color? What is the Twitter bird's name? And which animal has no vocal chords? If you like to shout answers at the television screen during "Jeopardy" broadcasts, then ACUIA has an event for you... **INTERACTIVE TRIVIA!** Just log on and match wits and knowledge against other conference attendees.

It's just \$45 for a chance to win a \$100 gift card.

Answers: Alex Rodriguez, Greenland Brown, Larry and Giraffe

**WEDNESDAY EVENING**

## VIRTUAL WINE TASTING NIGHT



**VIRTUAL WINE TASTING** – Drop by and see some old friends and learn about wine from the folks at *InGoodTaste* when ACUIA holds its first-ever wine tasting event. Turn

your living room into a tasting room as you sit back and relax in the comfort of your own home. The wine experts will guide you through a fun and interactive wine tasting. The wine will be delivered to the address of your choice and you can join your colleagues for a fun night.

\$99 covers your wine and the expert tutelage.

(State law prevents shipments to:  
Alabama, Alaska,  
Arkansas, Delaware, Hawaii,  
Kentucky, Mississippi,  
Rhode Island and Utah.)

Optional events are extra and not included in conference registration fee.

<hr/>			<b>Primary Job Function</b>  <input type="checkbox"/> Audit  <input type="checkbox"/> Risk Mgt  <input type="checkbox"/> Supervisory Committee  <input type="checkbox"/> Other
Name			
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City	State	Zip	
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Area Code	Phone		

Please select the events you will be attending:

- ☐ **One-Day CCUIA Recertification Class**  
 > > Offers One CCUIA Recertification Credit < <
- ☐ **ACUIA Virtual Annual Conference Only**  
 Wed June 23<sup>rd</sup> - Fri June 25<sup>th</sup>  
 > > Offers One CCUIA Recertification Credit < <
- ☐ **Both CCUIA Recertification Class & Annual Conference**  
 Tue June 22<sup>nd</sup> CCUIA Class | Jun 23<sup>rd</sup> - 25<sup>th</sup> Annual Conference

**Optional Events Wednesday June 23<sup>rd</sup>:** These events are optional with a separate fee as noted

Select from either:

☐ **Virtual Trivia Game Night** -or- ☐ **Virtual Wine Tasting Night**

**Notes:** For information regarding administration policies on refunds or cancellations please contact ACUIA Executive Office at: (703) 688.2284

## Registration Fees

	<b>Advance (By May 15)</b>	<b>Regular (May 16-Jun 20)</b>
<input type="checkbox"/> <b>One-Day CCUIA Recertification Class</b>		
_____ Member @	\$ 299	\$ 349
_____ Non-Member @	\$ 399	\$ 449
<input type="checkbox"/> <b>Annual Conference Registration Only</b>		
_____ Member @	\$ 499	\$ 549
_____ Non-Member @	\$ 599	\$ 649
- OR -		
<input type="checkbox"/> <b>BOTH CCUIA Re-Cert   Annual Conference Registration</b>		
_____ Member @	\$ 699	\$ 749
_____ Non-Member @	\$ 799	\$ 849
<b>TOTAL</b>	\$ _____	\$ _____

**SAVE \$99 ON BOTH**

## Optional Events

- ☐ **Virtual Trivia Game Night** \_\_\_\_\_ @ \$45 / each \$ \_\_\_\_\_
- ☐ **Virtual Wine Tasting** \_\_\_\_\_ @ \$99 / each \$ \_\_\_\_\_

Mailing Address (For wine sampler shipping)

City	ST	Zip
<hr/>		
<input type="checkbox"/> 	<input type="checkbox"/> 	<input type="checkbox"/> 
<input type="checkbox"/> Check	<b>TOTAL</b>	\$ _____




Credit Card Number: Exp. Date CVV Code

Name as it appears on card

Signature

Billing Zip-Code

## Three Ways to Register For the Conference:

-  **Fax:** (703) 348-7602  **Mail:** ACUIA Conference Dept.  
 1434 Duke St Suite 200  
 Alexandria, VA 22314
-  **Online:** [www.ACUIA.org](http://www.ACUIA.org)  
 - or - [Click Here to Register](#)